Minutes of Meeting #6 of the New Zealand ORCID Consortium Advisory Committee, 4 April 2019; 10am-2.00pm at Royal Society of New Zealand, 11 Turnbull Street, Thorndon, Wellington.

Present:
Committee:

Marie Bradley (chair) (from AgResearch, a member of Science New Zealand's strategy managers group)

Esther Viljoen (from HRC)

Nick Shortt (from MRINZ, standing in for Alex Semprini, representing IRANZ)

Lesley Brook (from Otago Polytechnic, representing ITPs)

Margaret Hyland (from Victoria University of Wellington, standing in for Giselle Byrnes, representing Universities New Zealand Research Committee–Te Pōkai Tara)

Mary-Anne Woodnorth (from Auckland District Health Board, representing District Health Board consortium members)

Katharina Ruckstuhl (from University of Otago, bringing a Mātauranga Māori perspective)

Anne Scott (from University of Canterbury, representing CONZUL)

Richard Waldin (from Scion, a member of Science New Zealand's IT group)

Jackie Fawcett (from MBIE).

Secretariat:

Jason Gush (Royal Society Te Apārangi – ORCID programme manager and ORCID Hub product owner)

Jill Mellanby (Royal Society Te Apārangi – ORCID coordinator).

Apologies: Alex Semprini (MRINZ); Giselle Byrnes (Massey University)

Abbreviations used:

ITPs – Institutes of Technology and Polytechnics
CONZUL – Council of New Zealand University Librarians
VUW – Victoria University of Wellington
IRANZ – Independent Research Association of New Zealand
NZRIS – New Zealand Research Information System
HRC – Health Research Council of New Zealand
IP – Intellectual Property
MBIE – Ministry of Business, Innovation and Employment
GLAM – Galleries, Libraries, Archives, Museums

Summary of key actions

Agenda Item	Action	Who
Ongoing	Send an update on Society related ORCID activity approximately every two months to the committee.	JM
Ongoing	Find out if MBIE receptive to including Pacific nation organisations in the consortium	JF/JG/JM
Ongoing	Major funders to compile a calendar of when they will be requesting ORCID iDs within their bids	EV
Ongoing	Society to attempt to re-engage with Wānanga	JM/JG Internal meeting at Royal Society Te Apārangi held with Director Māori and Director of communications on 27 Sept 2019. Key wānanga person identified, with whom conversations at an appropriate time can be held.
3. Round table feedback	Share information on this advisory committee meeting with other members of the CRI IT leads. Feedback to this committee on any relevant information from IT leads meeting.	RW
3. Round table feedback	Present information about ORCID at next DHB meeting	MW
3. Round table feedback	Royal Society to offer help for Auckland DHB to write funding information to successful recipients' ORCID records through the Hub if this pursued.	JG, JM
3. Round table feedback	Obtain a screenshot of information written to the ORCID record by MBIE as part of the Smart-Ideas pilot so that organisations can use it as an example to show how the information is displayed.	JF
4.Update on ORCID consortium	An official letter of thanks to be written by this committee and sent to Professor Tahu Kukutai for her time and contribution to meeting on	JM/MB

	Māori data sovereignty and ORCID	
4.Update on ORCID consortium	Update the committee, research offices and librarians/knowledge advisors at member organisations when the status of the CV feature in the NZ ORCID Hub is known and provide comms messages to encourage ORCID adoption amongst the research community	JG
6.Discussion with ORCID	Notes on the ORCID/NZ advisory committee discussion to be sent to Laure Haak	JM
7. ORCID future in NZ with MBIE representatives	Royal Society Te Apārangi to hold discussions with MBIE about ongoing funding for the consortium	JG, JM
7. ORCID future in NZ with MBIE representatives	Committee subgroup to write letter to MBIE in support of ongoing funding	RW, MB, AS
8. Ongoing role of this advisory committee	Send current Terms of Reference around members and re-examine them at next meeting	JM
8. Ongoing role of this advisory committee	Request joint session between the ORCID advisory committee and NSOG to discuss alignment and opportunities between NZRIS and ORCID	JG
Other Business Actions	Opportunities to increase adoption of ORCID: Talk to specific people at MPI about use of ORCID in their grant application portal	MB and JF to give names to JG, JM
Other Business Actions	Talk to TEC to find out what their current actions are around ORCID and offer to assist assertion of CoRE success	JG, JM
Other Business Actions	Outreach to Ako Aotearoa, as a funder – invite them to join the consortium	LB will provide information to JG, JM about the organisation
Other Business Actions	MBIE – possible follow-up actions on those people who opted not to have information written to ORCID records in their recent pilot. Talk to key staff at MBIE to ask if this information is obtainable	JF to talk to key MBIE staff and provide information to AS, if possible

Other Business Actions	Māori IP ownership: IP policy that was developed for Science for Technological Innovation national science challenge to be shared with this committee	KR
Other Business Actions	Otago Polytechnic to be shown an approach for using the Hub's API to retrieve an organisation's researchers' works from ORCID	JG
Other Business Actions	Send current Terms of Reference for this committee to LB	JM

Agenda item 1 – Welcome and introductions

Welcome to all in attendance and introductions for those attending for the first time, or attending on behalf of other members.

Agenda item 2 – Minutes and action points from previous meeting

Minutes of previous meeting approved. All action points from previous meetings completed or ongoing.

Agenda item 3 – Round table feedback from consortium sectors

Uptake of ORCID varies across the sectors and also within each sector. Many researchers still do not understand the benefits of giving third parties access to their ORCID records. Even when they have given permission, the information in their records is patchy and does not truly reflect their whole research career. We are still some years away from ORCID records holding complete information about researchers. Both Royal Society Te Apārangi and MBIE are now writing funding information to the ORCID records of successful grant applicants but other funders are not yet ready to do this – new IT systems are still being built. The group suggested that MBIE notify research offices and library/knowledge teams of those who opted not to have information written to ORCID records in order for one-on-one follow-up to occur, as this might drive more uptake. It would be useful to have a screenshot of what the information looks like in an actual ORCID record. MRINZ is building an ORCID Integration into their internal database which will be showcased to other IRANZ members. The merger of the ITPs will affect ORCID activities and resourcing for the new head office is still unclear.

In general, the committee feels that the benefits of ORCID, on a national scale, are yet to be realised. It will take time while the systems that integrate with ORCID are slowly built. A commitment to ORCID by member organisations and government is needed over the long term.

- The CRI IT leads will be meeting soon and some feedback from this advisory committee meeting will be given. They will be asked to feedback any relevant information to this committee – ACTION RW
- Presentation on ORCID to be given at DHB meeting – ACTION MW
- Assistance, if required, with writing funding information to DHB awardees offered by Royal Society – ACTION JG/JM/MW

- Request for a screenshot of an ORCID record with MBIE written information to grant awardees to be requested – ACTION JF

Agenda item 4 – Update on ORCID consortium

Thanks were given by the committee to Royal Society Te Apārangi for the wide range of ORCID related activities that have taken place over the last 12 months.

The committee was informed that the Society has been in conversation with ORCID about the Hub and that ORCID is not interested in taking over the code's maintenance when the development contract with The University of Auckland comes to an end. The development subcontract ended on 30th September but The University of Auckland are providing staff time into October at no cost due to some of the Hub development time having been used for the University's own ORCID integration. The CV function will, hopefully, be complete by the end of development time and this feature could be used to attract researchers to affiliate with their institutions through the Hub.
The South African consortium are using our code to trial their own version of the Hub.

A discussion took place over the recent meeting organized by the Society to discuss Māori approaches to data sovereignty. It is seen as an excellent first step to get ORCID thinking about such matters. As a result of this meeting ORCID's CE has contacted some people in the USA who are active in this area; it is not an issue that is unique to New Zealand.

- An official letter of thanks to be written by this committee and sent to Professor Tahu Kukutai for her time and contribution to that meeting – ACTION JM/MB
- Inform this committee when the status of the CV feature is known – ACTION JG

Agenda item 6 – Discussion with ORCID staff

The committee were joined, via zoom, by Matthew Buys, ORCID's director of engagement and Brian Minihan, ORCIDs Asia Pacific engagement team member. ORCID's CE, Laure Haak was unable to join until the last few minutes of the meeting.

The election process for ORCID's Board was described. The dates for the 2020 meetings are confirmed as:

- London 11-12 February
- Washington DC 19-20 May
- Somewhere in Asia Pacific region 22-23 September

If the New Zealand Board nominee is elected and wishes to apply for a share of ORCID's $10,000 (US) available for each meeting, it is recommended that this is done as soon as possible once election is confirmed. Applications should be made directly to ORCID's CE, Dr Laure Haak.

Three initiatives are shortly being launched by ORCID:

1. A consortia council is being formed, involving various consortia lead agencies and delegates. This group will consider the role of identifiers in the research landscape and examine national policies around adoption of these.

2. A re-launch of the member self-service tool with improved functionality will take place. Better metrics will be available to members; this feature may be available in production by Q3, 2020.
3. Technology around token delegation and an assertion service is being developed.

An update on our recent New Zealand ORCID consortium activity was presented to ORCID:

- Thanks to Laure and Brian for their visit to NZ earlier in the year – this was very useful.
- The NZ ORCID Hub is reaching the end of development and soon moving to a maintenance phase.
- Uptake of ORCID in NZ is variable and the benefits are still not entirely clear to researchers; patchy information in records is not seen as useful. Our major funders are beginning to write this information to records and this has had positive reactions.
- The standard New Zealand CV export template (used for funding applications) that is built into the Hub is likely to be seen as valuable to our research community and may drive more engagement.
- We still have room for expansion in our consortium to cover the entire New Zealand research community – more IRANZ members, more district health boards, our indigenous research organisations, GLAM organisations. Our ITPs will merge to form one large umbrella organisation next year so this will affect our current consortium membership.
- Not all of our members have an integration or are using the Hub and this is where we expect to be putting our effort in the short-term.
- We anticipate that funding for the national consortium will continue. Our consortium has a diverse membership and we know that our smaller members would not have the resources to pay for ORCID membership under ORCID's current fee structure, if government support ceased.

ACTIONS – notes on the ORCID/NZ advisory committee discussion to be sent to Laure Haak (JM)

Agenda item 5 – Discussion on white paper

The nature of our grandfathering arrangement with ORCID towards their new fee model was discussed. The fact that we will eventually pay a per-member fee rather than a flat fee of up to 99 members was clarified. The committee feels that an ongoing commitment from MBIE is required in order for the original perceived benefits of ORCID implementation on a national basis to be realized and to prevent the perception of risk that the consortium may fold being a barrier to organisations expending resources towards implementing ORCID.

Agenda Item 7 – ORCID future in NZ with MBIE representatives

A brief description of the role of the advisory committee was presented to MBIE staff. Committee stated that good progress had been made by Royal Society Te Aparāngi and, while uptake of ORCID is increasing, there is still a long way to go to realise the benefits it could potentially bring to the New Zealand research environment.

Some barriers that are preventing progress for the consortium were described:

- the limitation of resources to commit to ORCID within individual institutions
- not insignificant resources/time been spent by members in implementing and getting adoption by researchers.
- the short-term nature of government funding

Although there are other researcher identifiers in existence ORCID is becoming prevalent on a worldwide scale. Many international publishers have made ORCID mandatory for researchers wishing to submit manuscripts to their journals.

A discussion on Māori data sovereignty within ORCID and in other government contexts took place.

Action

- The Society will hold discussions with MBIE about ongoing funding for the consortium (JG)
- Committee subgroup to write letter to MBIE in support of ongoing funding (RW, AS, MB)

Agenda item 8 – ongoing role of this advisory committee

The committee discussed whether it was needed in future. In the end, it was agreed that it will be difficult to continue to drive ORCID uptake without a community approach across the whole research environment. The ongoing Terms of Reference for the committee will need careful consideration and more clarity as ORCID initiative moves into maintenance phase. It was recommended that there be a joint session with selected members of this committee and NSOG (NZRIS Stewardship and Oversight Group) due to the synergy between NZRIS and ORCID.

ACTION

- JG to follow up and request joint session with the chair of NSOG
- Send current Terms of Reference for this committee and relook at them at next meeting - JM

Other Business Actions:

- Opportunities to improve incentives for adoption of ORCID through other funders:
  - Talk to specific people at MPI about their grant application portal – MB and JF to give names of individuals to JG/JM Talk to TEC to find out what is going on there – JG/JM
- Outreach to Ako Aotearoa, as a funder – invite them to join the consortium – LB will provide information on this organisation with JG/JM
- MBIE – possible follow-up actions on those people who opted not to have information written to ORCID records in their recent pilot. JF to talk to key MBIE staff with a view of providing this information to AS.
- Māori IP/data discussions: any lessons from IP policy that was developed for Science for Technological Innovation national science challenge? Share policy with this committee – KR
- Otago Polytechnic to be shown an approach for using the Hub's API to retrieve an organisation's researchers' works from ORCID - JG