

## **New Zealand ORCID Advisory Committee Meeting #12**

### **Minutes**

**Tuesday 21 March 2023 - via Zoom 13:00-15:00**

#### **Attendees:**

##### ***Committee***

Marie Bradley (Chair, AgResearch, representing CRI Strategy Managers Group)  
Richard Waldin (Scion, representing CRIs CTO)  
Nick Shortt (MRINZ, representing IRANZ)  
Lesley Brook (Otago Polytechnic, representing ITPs)  
Esther Viljoen (HRC, representing funders)  
Angela Hannah (MBIE)  
Katharina Ruckstuhl (University of Otago, bringing a Mātauranga Māori perspective; ORCID Board member)

##### ***Secretariat***

Jason Gush (Royal Society Te Apārangi – ORCID programme manager and ORCID Hub product owner)  
Abby Cuttriss (Royal Society Te Apārangi – Programme Manager, Expert Advice)  
Ashleigh Hume (Royal Society Te Apārangi)

##### ***Guests***

##### **Apologies:**

Marina Dzhelali (Capital & Coast District Health Board, representing DHBs)  
Giselle Byrnes (Massey University, representing Universities New Zealand)  
Roger Ridley (Royal Society Te Apārangi)  
Alex Freemantle (Royal Society Te Apārangi)

##### **Conflict of interest register:**

Marie Bradley is a new member on the RSNZ Council.

##### **Abbreviations:**

HRC – Health Research Council of New Zealand  
MBIE – Ministry of Business, Innovation and Employment

##### **Agenda**

1. Update from RSNZ – ORCID in NZ including MBIE response to 2021-2022 annual report
  - a. ORCID Inc fee structure and MBIE contract renewal
  - b. Te Pūkenga and DHB mergers
2. Reflections from Katharina Ruckstuhl – as outgoing member of ORCID Inc board.
3. NZRIS update
4. Update on Te Ara Paerangi/broader science context – Jason/Marie/round table
5. State of the ORCID in NZ Nation
  - a. Where to next for ORCID in Aotearoa? (brainstorming session)

- b. Update relative to the 2020 vision statement
- c. Revisit the purpose of the Advisory Committee
  - i. If worth continuing – Terms of Reference - Change content or just dates?
- 6. Other advice

### **Minutes:**

Jason Gush informed the team that he is leaving his role at the Royal Society Te Apārangi.

#### *Update from RSNZ and discussion*

The 2021-2022 Annual Report was warmly received by MBIE.

ORCID Inc's fee structure for consortia has changed. The preference from ORCID Inc is to dissolve the ORCID NZ grandfather agreement and join the current consortia agreement. The fee structure is now based on how much revenue each organisation attracts and the size of consortia. The implications of this are that the bill for MBIE will increase from approximately USD\$161k to \$196k. It was noted that there are 10 smaller entities which will merge into a single organisation (Te Pūkenga), which will lower this bill. MBIE has been notified of this change and there will be more discussion early in the new year.

Roughly 20 of our 53 members are not using the ORCID API. We are trying to encourage members to utilise the full capabilities of the ORCID API. This hasn't been a priority due to the flat fee structure up until now. These non-active members include DHBs and half of ITPs, and about half of IRANZ members. We need MBIE's ongoing funding as many organisations in the consortium are financially constrained.

The message we want to send to these members is "use it or lose it". We should provide support and documentation along with this message. This change is likely to trigger some introspection by the advisory committee about how the consortium is supported.

An enquiry was made about whether there is a way for us to lock in a certain price, i.e., \$190k, for a set period. It was noted that this is unlikely, as the change was driven by discussions about what ORCID Inc needs to be financially viable. As many organisations are entering their budgeting period for next financial year, it would be hard for us to retrofit paying fees into that model.

Action: There is a need for a high-level report about what is being faced by ORCID in NZ and solutions to come. Use Brian Minihan visit as a chance to raise visibility of ORCID with MBIE including with policy group.

#### *ORCID Inc update - Reflections from Katharina Ruckstuhl on her experience with the ORCID Inc Board:*

Last year ORCID Inc welcomed two new consortia in Chile and Ukraine and launched their Global Participation Programme.

ORCID Inc recently released a new public statistics dashboard on their website which demonstrates who is active; for example, researchers adding information to their ORCID record, most active countries, types of stakeholders (i.e., universities and research institutions).

The ORCID Inc Board has been focused on increasing value to members. There was an idea around "value stories" for particular types of users which describes the benefits of using and integrating ORCID. This could be useful for those who do not think they need ORCID accounts.

There is a new tiered consortium fee model which helps with increasing the adoption of underrepresented organisations and regions. The tiers are based on total funding or revenue of the legal entity. The 60+ membership (tier 2) is closest to ORCID in NZ reality right now. ORCID Inc have a two-pronged approach to reaching underrepresented regions: discounted membership fees or a grant. They awarded four grants last year throughout Africa.

It was noted that some countries using ORCID are holding the data in-country; these are not consortia.

ORCID is occupying a unique space in a very saturated market and is now being used as a benchmark for the trustworthiness of researchers. As a result, ORCID Inc is working on adding a “trust assertion” to researchers’ profiles. There are legal requirements in the US to have an ORCID ID; it is not compulsory but helpful as they are trusted.

The humanities have historically been left out of ORCID due to the types of publications in this area. More outreach is being done by ORCID Inc to correct this.

**ACTION:** Ensure that we make NZ nominations for the ORCID Researcher Advisory Council from New Zealand and Australia to provide our perspective. This Council meets twice a year. RSNZ will work to canvas names.

#### *NZRIS update*

MBIE has finished the IT build. The custodian app and public interface (which displays data) are looking very good. Data can be uploaded. MBIE started some initial testing but want to do more robust testing before going public. There is no timeline on this yet. The first phase (funders’ data) will hopefully be released at the end of the calendar year. The NZRIS team are developing frameworks that will set out tangible actions to demonstrate data sovereignty.

#### *Roundtable*

Te Ara Paerangi MBIE Science Policy team read our green paper submission regarding the value of ORCID. The real focus in the short term for MBIE is national research priorities and researcher precarity.

MBIE and RSNZ recommends publications be identified by a Digital Object Identifier and researchers be identified with an ORCID profile as per the Open Access statement. These are currently soft recommendations at this stage.

AgResearch and HRC are developing policies to reflect open access policy. Scion is looking at how others have responded and suggests that the use of ORCID is not completely understood.

#### *State of the ORCID nation*

ORCID in NZ has been a real success and has comfortably met the KPIs. Thirty-three organisations are making use of the ORCID registry. We need more systems that are accessible and make more use of ORCID records. The future work programme should focus on this.

We should rework the End User Survey. It was noted that it is harder to articulate what changes need to be made, but it’s easier for people to say how they would like to use it. It also assumes an in-depth knowledge and interest that may not exist, although it was noted that it is only going to the members and not all users. This is an opportunity to talk about the new fee structure.

#### *Terms of Reference*

RSNZ represents a research community while MBIE represents the government. ORCID is here to serve researchers primarily, so it makes sense for it to remain with RSNZ even though funding comes from MBIE. The Advisory Committee can be the researchers' and research organisational voices. We need the Advisory Committee while our funding remains uncertain. Hopefully the feedback that the Committee gives to RSNZ helps with the support of consortium members. Additionally, we are coming up on a transitional period, so the Advisory Committee is still necessary with regards to getting new consortia members on board.

It was noted that ORCID in NZ will always need a bit of maintenance. RSNZ should think about what business as usual looks like for ORCID in NZ and bring it up with MBIE and the Australian consortium.

Take out the phrase "a subsidiary of". Otherwise, the terms are still fit for purpose. The new terms will expire by the end of next year (December 2024).

We should add another CRI voice to committee, this could come from IT or the information management space.

#### **Summary of actions:**

- RSNZ to identify when the ORCID Inc Board and Council nomination period is and nominate New Zealand researchers
- RSNZ to campaign to encourage more engagement from the 22 members who are not actively utilising ORCID
- RSNZ to discuss with MBIE regarding the involvement of Pacific researchers in a regional consortium
- RSNZ to assemble a business case for funding from MBIE for the RSNZ/MBIE/ORCID meeting in May
- NZRIS to do data demo for next meeting
- RSNZ to add phrasing of OA policy to the newsletter
- RSNZ to reframe the end-user survey to ensure it is more future focused
- RSNZ to refresh the Advisory Committee Terms of Reference and send to committee for approval
- Leslie to update committee on the Te Pūkenga merger
- RSNZ to circulate the final MBIE report