

**Minutes of Meeting #5 of the New Zealand ORCID Consortium Advisory Committee, 4 April 2019;
10am-3.30pm at Royal Society of New Zealand, 11 Turnbull Street, Thorndon, Wellington.**

Present:

Committee:

Marie Bradley (chair) (from AgResearch, a member of Science New Zealand's strategy managers group)

Esther Viljoen (from HRC)

Nick Shortt (from MRINZ, standing in for Alex Semprini, representing IRANZ)

Margaret Leonard (from Ara Institute of Canterbury, representing ITPs)

Giselle Byrnes (from Massey University, representing Universities New Zealand Research Committee–Te Pōkai Tara)

Mary-Anne Woodnorth (from Auckland District Health Board, representing District Health Board consortium members)

Katharina Ruckstuhl (from University of Otago, bringing a Mātauranga Māori perspective)

Anne Scott (from University of Canterbury, representing CONZUL)

Richard Waldin (from Scion, a member of Science New Zealand's IT group)

Jackie Fawcett (from MBIE).

Roger Ridley (from Royal Society Te Apārangi, Director of Research Practice and Expert Advice, under which ORCID sits within the organisation).

Andrew Cleland (Royal Society Te Aparāngi Chief Executive) joined for agenda item 7.

Secretariat:

Jason Gush (Royal Society Te Apārangi – ORCID programme manager and ORCID Hub product owner)

Jill Mellanby (Royal Society Te Apārangi – ORCID coordinator).

Apologies: Alex Semprini (MRINZ)

Abbreviations used:

ITPs – Institutes of Technology and Polytechnics

CONZUL – Council of New Zealand University Librarians

VUW – Victoria University of Wellington

CCDHB – Capital & Coast District Health Board

IRANZ – Independent Research Association of New Zealand

HRC – Health Research Council of New Zealand

IP – Intellectual Property

MBIE – Ministry of Business, Innovation and Employment

PBRF – Performance Based Research Funding

Agenda item 8 – Summary of key actions

Agenda Item	Action	Who
2 (mtg #4)	Society to disseminate excerpts of 'vision' poster 2 wider audience, using existing suitable communication channels	JM – ongoing meetings with the Society's communications team
10 (mtg #4)	Find out if MBIE receptive to including Pacific nation organisations in the consortium	JF,JG,JM - ongoing
11 (mtg #4)	Major funders to compile a calendar of when they will be requesting ORCID iDs within their bids	EV - ongoing
2	Contact suitable lawyer for comments on ORCID's Privacy and Terms & Conditions from a Māori perspective	JG/JM
3	Once legal feedback has been received, the Society should write a new webpage with ORCID's Terms & Conditions in plain English and draw attention to this in the next consortium newsletter; also put a link to this page in all Hub invitations.	JM/JG
3	Send the committee the invitation to the Society's consortium town hall event (11 th April) and encourage attendance from within their own sector	JM: completed
3	Society to tell the committee what Hub functions we would like tested, ideally in the production Hub, and they will encourage their own sector members to do this	JG
3	Society to attempt to re-engage with wānanga (after legal feedback received and new webpage written)	JM/JG
4	write a tips and tricks in the Hub piece for next newsletter and include the fact that an organisation can issue individual invitations rather than having to load a file	JM
4	Arrange for CRI representatives to talk to Laure Haak, in May, about the unique challenges faced by the CRI sector in NZ	JM: completed
4	Invite a representative at a suitable level within MBIE to talk to the committee at the next meeting about ongoing consortium support; Society to present an issues paper to the next committee meeting outlining the various models for funding overseas consortia	JM/JG
4	Item on the 'ongoing role of the committee' should be added to agenda for next committee meeting	JM
9	The Society will shortly be sending out invitations to have historic Marsden funding written to ORCID records. Email invitation	JG

	wording with anticipated date of sending to be sent to consortium ORCID contacts and advisory committee members in advance	
9	Send an update on Society-related ORCID activity approximately every two months to the committee so that they can act on engaging their sector members, if appropriate.	JM
6	Contact suitable person to speak with Laure Haak about Māori data sovereignty and IP, via zoom, if necessary, in May.	JM: completed
7	Send Chair (MB) information on how creative arts research outputs are captured in PBRF before LH's visit on May 6 th	GB: completed
10	Send around Doodle poll to determine date and time for next meeting (to end at 2pm)	JM: completed

Agenda item 1 – Welcome and introductions

Round-table introduction and welcome to new committee member, Mary-Anne Woodnorth, representing the district health boards.

Agenda item 2 – Minutes and action points from previous meeting

Minutes from meeting #4 were approved. Outstanding actions are included on the above action table and marked as 'ongoing'. Actions completed: suitably experienced lawyer was suggested as an IP specialist to look over ORCID's Term's & Conditions, with particular reference to Māori research. The committee suggested that Royal Society Te Apārangi have a high level landing page on the ORCID section of the website, with the title of 'ORCID and your privacy' or similar, with ORCID's Terms & Conditions written in plain English and with any comments provided by the lawyer on these, from a Māori perspective. A link to this page should be provided on the Hub's invitation emails. (ACTION – the Society will contact a suitable lawyer to organize this, preferably before the May meeting between committee chair, Society CR and ORCID CE). The Society has received no follow up from MBIE with regards to the possibility of the USP being included in consortium membership (ACTION- Society to follow up again at their next bimonthly ORCID catchup with MBIE contacts).

Agenda item 3 – Update on ORCID Hub, Society's recent report to MBIE, KPI statistics, other developments

The Society's regular reports to MBIE will now be proactively released.

In addition to those presentations included in the MBIE report (IRANZ, ITP research managers, three agency funders' group), presentations were also given at the February e-Research conference in Auckland.

ORCID relies on its member community to work with them in prospective developments. The Society has recently been involved in two of ORCID's working groups: the pre-permission workflow group, where researchers would be able to give permission to parties to access their ORCID records ahead of receiving a permissions request; and the peer-review working group, to help review the details of how this feature might function best within ORCID.

The Hub's development continues and it is now able to cover the full suite of features offered by ORCID's current API (2.1) with the exception of writing personal information such as additional email addresses, keywords, web sites – the developers are still working on this feature. We have had notice of Hub enquiries from six other countries: South Africa are setting up a local test environment; the UK and Australian consortium leads have experimented with our test Hub. The volume of help requests has required the Society to implement a ticketing system to keep track. The Society will run a town-hall type event on the 11th April with speakers from several consortium member organisations; it is hoped that this will encourage other members to engage with ORCID and use the Hub. (ACTION – JM to send this invitation to advisory committee members who will encourage attendance from their own sector.)

Ideally, the Society would like more members to test out features of the Hub's functionality other than affiliations. Real user testing would show up any unidentified bugs and help the wording of user documentation. (ACTION – JG/JM to let the committee know what functions we would like tested, ideally in the production Hub, and they will encourage their own sector members to do this). Some discussion took place on the future of the Hub and development once the current contract ends, in order to keep pace with developments at ORCID Inc.

A demonstration of the Hub's ability to generate standard New Zealand template CV was given. The committee agreed that this feature, although not part of the Society's working agreement for the Hub's development, was likely to be of great use to the consortium community. Clarification was sought that the CV is not held on the Hub but on people's own computers once they download it. Although ORCID has a 'generate CV' function built in, this will only include information that the researcher has chosen to make public. Some concern was expressed about the type of information that can be made public in an ORCID record; it was stated that ORCID does not contain demographic or ethnicity data; nonetheless people should be aware of how their data within ORCID could be used. It was recommended that the Society seek some legal endorsement of ORCID's privacy/terms & conditions from a suitably qualified person and that this should be on a high level landing page in the Society's web pages with a title such as, 'ORCID and your privacy'. (ACTION – Society to contact lawyer; ACTION Society to write about new webpage (plain English Terms & Conditions) in next consortium newsletter; ACTION – Society to provide a link to this webpage in the Hub's invitations). Current statistics suggest that just under 50% of NZ-based researchers have an ORCID iD; we would like this figure to be 80% by the end of June 2020.

The lack of Wānanga engagement in the consortium was discussed. It was agreed that the Society should make use of newly appointed Māori staff to re-engage with them about the possibility of them joining the consortium – most usefully after we have had received advice about ORCID's privacy/Terms & Conditions statements from a Māori perspective. (Action – Society to re-engage with Wānanga).

Some discussion took place on the Hub's future maintenance, after the contract with the developers ends. A discussion with ORCID will take place in May around Hub maintenance for NZ users, if ORCID takes over hosting the Hub's code.

Agenda item 4 – Round table feedback

ITPs – the upcoming merger of the ITPs into one entity may be preventing some within the sector from embracing ORCID.

CONZUL – Hub file upload method makes it difficult to engage with people one-on-one. The Hub's feature of allowing individual person invitations was described; it transpired that this feature may be

unfamiliar to many hub users. (ACTION – JM to write a tips and tricks in the Hub piece for next newsletter and include this feature).

Universities – some discussion took place around the perceived advantages to the researcher of having a rich affiliation record written to their ORCID iDs via the Hub's file upload method, rather than the minimal information written by a Tuakiri mediated method. The Society explained that those organisations who are writing rich information to researchers' ORCID records have had a more successful uptake of Hub invitations than those using the Tuakiri, minimal information, approach. Despite there still being some confusion over the dual log-in feature of the Hub (sign in with Tuakiri/home credentials vs sign in with ORCID) it was agreed by the committee that having all organisations' logos placed in the Tuakiri log in button was not worth pursuing at this time. Despite the Hub invitation link now expiring after one month (from its original shorter expiry), it is still difficult for library/knowledge centre staff to meet with individual researchers who have received an invitation and encourage them to act on it. The Society reminded everyone that it is possible for a Hub administration person at each organisation to invite people on a one by one basis – it does not require a file upload. This was perceived as being useful information and a request for a Hub 'tips and tricks' section to be included in the next consortium newsletter (ACTION – JM).

Māori – some concern expressed over cloud based repositories in general as well as potential future uses of data held in ORCID records. Some people, not only Māori, may choose not to engage with ORCID due to general concerns over data privacy; this is something for both research organisations and funders to be cognizant of.

CRIs – Scion still has issues with their PURE integration. Manaaki-Whenua Landcare and Plant & Food Research are ORCID Hub champions in the sector. It would be useful if they could talk to Laure Haak in her May visit, via Skype or similar, about the unique challenges faced by the CRI sector in NZ. (ACTION – JM to coordinate)

Funders – HRC are upgrading their IT system and ORCID will be built into a new system rather than into the existing one. The committee feel that MBIE, as a major funder, has a role to play in setting a good example of collecting ORCID IDs and writing successful funding to recipients' ORCID records.

MBIE – it was recommended that MBIE build the entire ORCID workflow into their portals – from collecting authenticated ORCID iDs, through to writing successful funding for applicants. The Society is willing to assist MBIE with using the Hub to write historic funding successes to ORCID records. MBIE could then be a good example that other funders could follow. The committee is willing to provide appropriate messaging to MBIE staff, if required.

Some general discussion took place around the overall future direction of the consortium. ORCID's requirement for a 1.0 FTE consortium support person per 20-40 members as part of the reduction in pricing offered to a consortium was discussed. There will be an ongoing need for consortium support due to staff turnover at organisations and as ORCID develops over time, with possible software updates. It was suggested that the Society invites a representative at a suitable level within MBIE to talk to the committee about consortium support at the next meeting, and that an issues paper is presented to the committee by the Society outlining the various options for ORCID in New Zealand, including models for funding adopted by overseas consortia. (ACTION – JM/JG). At the next meeting an agenda item on the 'ongoing role of the committee' should be added (Action JM/MB).

Agenda item 9 – AOB

The Society is planning on writing Marsden recipient funding to records (sending an initial descriptive email, followed a week later by a Hub email). A request was made for the wording of this to be sent to all consortium main and technical contacts in advance to prepare them for this. This will allow them to follow up with researchers at their own institutions to encourage them to act on the Hub invitation (Action –JG to send out the wording of the email to consortium contacts and advisory committee members).

A brief summary of the Society's upcoming town hall event and upcoming attendance at the ORCID consortium workshop in Atlanta was given. The committee requested an update on Society related ORCID activity approximately every two months so that they can act on engaging their sector members, if appropriate. (ACTION – JM to send out an update to committee members approximately every 2 months).

Agenda item 5 – Brief preparation for L. Haak's attendance

The committee clarified what information they would like Laure Haak (ORCID CE) to provide, being a reply to the committee's letter to ORCID's board; a brief discussion of what the committee would like from Laure's visit to NZ in May; new developments at ORCID.

Agenda item 6 – Laure Haak (ORCID CE) attending via weblink

ORCID has a new project: Academia and Beyond. This project will look at adoption and use of ORCID in the arts and humanities, and life science/clinical medicine sectors with a view to improving the user experience. ORCID is engaging in a 'listening tour' and engaging with small focus groups – this will include users in NZ. ORCID is trying to incorporate the 'voice of the user group' into their activities. A new feature within ORCID that is being explored is allowing people to curate their record to create collections of information; this will allow users to present different parts of their record to different readers.

ORCID is aware of the difficulties faced by smaller organisations, in terms of resourcing, to interact with the system. To this end, they are working on new business models in time for a 2021 implementation. A new researcher information platform will make it easier for organisations to authenticate and collect permissions, then pass information along to another system to update the ORCID record. This working group is being chaired by Linda O'Brien and could potentially keep the New Zealand ORCID Advisory Committee informed.

Our committee explained that without government funding our individual members would be unlikely to self-fund. Laure explained that Norway, which has large discrepancies in member organisation size, operates a sliding fee scale. This might be a model that New Zealand could adopt, should the situation require it.

Laure is visiting New Zealand next month and will meet with the committee chair as well as the Society's chief executive, to discuss long term plans for ORCID and New Zealand's identifier infrastructure. Other organisations could arrange time to talk to Laure while she is in NZ, possibly CRI representatives and also a person who can talk to Laure about the Māori perspective.

New developments include the release of API 3.0 which will allow researchers to demonstrate other ways in which they participate in their research community. The committee agreed that this could be of benefit from a PBRF perspective. ORCID is also involved in a number of other projects.

Some information on data from a Māori perspective, particularly around collective information, was presented to Laure. Māori, as a group, have expressed concern about data accountability and social license. As this is unfamiliar to Laure it would be advantageous for her to meet with a suitable person while she is in NZ next month (ACTION – JM to contact suitable person to find out whether they are available to speak with Laure, via zoom, if necessary.)

Agenda item 7 – Preparation for chair’s meeting with Laure Haak in May

Items to discuss at 6th May meeting with LH, MB, AC:

- With regard to Pacific Nations’ involvement with ORCID – under current ORCID policy it would be impossible for the Pacific Nations to form a national consortium and individual pricing is likely to deter membership. (AC will bring this up with LH).
- Ongoing ownership of the NZ ORCID Hub once our current development contract runs out: discuss the implications both financial and non-financial of ORCID taking over and maintaining the code base.
- ORCID’s commitment to capturing non-traditional work outputs in records, e.g. for the creative arts. There is a way for these to be captured in PBRF data. (ACTION – GB to send MB information on how these are captured in PBRF before LH’s visit on May 6th). For district health boards, the capture of clinical cases (where there are no permanent identifiers) is an issue. (ACTION – MW to send MB details of works that are currently difficult to capture within ORCID’s structure, before 6th May).
- Reiterate the committee’s concerns over ORCID’s costs for small organisations.
- Ensure provision for LH to talk to representatives from the CRI about their challenges of implementing ORCID (captured in action points from item 4)

Agenda item 8 – Summary of key actions

(see above table)

Agenda item 10 – Date and time of next meeting

Actions- JM send around Doodle poll

JM/JG to extend an official invitation to MBIE for a suitable staff member to join the meeting and provide some information on the long-term vision for ORCID in New Zealand (captured under actions in agenda item 4)